

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

-----x  
In re

LEHMAN BROTHERS HOLDINGS INC., *et al.*,

Debtors.  
-----x

:  
: Chapter 11 Case No.

:  
: 08-13555 (SCC)  
: (Jointly Administered)

:  
: Ref. Docket Nos. 43325, 43327-  
43330, 43361, 43362, 43375, 43376,  
43522, 43559, 43706, 43707, 43711,  
43778, 43831-43833, 43835-43839,  
43919, 43924, 43929, 43930

AFFIDAVIT OF SERVICE

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

LAUREN RODRIGUEZ, being duly sworn, deposes and says:

1. I am employed by Epiq Bankruptcy Solutions, LLC, located at 757 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On April 9, 2014, I caused to be served the "Notice of Transfer of Claim Pursuant to F.R.B.P.3001 (E) (2) or (4)," a sample of which is attached hereto as Exhibit A, by causing true and correct copies to be: 1) personalized with the transferee, transferor and claim information for the above-referenced docket numbers, 2) enclosed securely in separate postage pre-paid envelopes and 3) delivered by first-class mail to the parties listed on the attached Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Lauren Rodriguez

Lauren Rodriguez

Sworn to before me this  
17<sup>th</sup> day of April, 2014

/s/ Panagiota Manatakis

Notary Public, State of New York

No. 01MA6221096

Qualified in Queens County

Commission Expires April 26, 2014

## EXHIBIT A

UNITED STATES BANKRUPTCY COURT  
Southern District of New York

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,

Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e) (2) or (4)

Note: For purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim, while **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.  
TRANSFEROR: MERRILL LYNCH INTERNATIONAL  
CHRIS SCHOFIELD  
ALDEN GLOBAL CAPITAL  
885 THIRD AVENUE  
NEW YORK NY 10022

Please note that your claim # 62901-15 in the above referenced case and in the amount of  
\$166,286.44 allowed at \$136,556.86 has been transferred (unless previously expunged by court order)

TURNPIKE LIMITED  
TRANSFEROR: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.  
C/O ALDEN GLOBAL CAPITAL  
ATTN: ITHRAN OLIVACCE  
885 THIRD AVENUE, 34TH FLOOR  
NEW YORK NY 10022

No action is required if you do not object to the transfer of your claim. However, IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 43706 in your objection. If you file an objection, a hearing will be scheduled. IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.

Date: 04/09/2014

Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

By: Epiq Bankruptcy Solutions, LLC  
as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on April 9, 2014.

## **EXHIBIT B**

TIME: 13:30:49  
DATE: 04/09/14

LEHMAN BROTHERS HOLDING INC.  
CREDITOR LISTING

PAGE: 1

Name	Address
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: BARCLAYS BANK PLC C/O NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: CHRIS SCHOLFIELD C/O ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: FCDB LBU 2009 LLC C/O NEW FINANCE ALDEN SPV ATTN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH FL NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: GOLDMAN SACHS & CO. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: GOLDMAN, SACHS & CO. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOFIELD 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: GOLDMAN, SACHS & CO. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: ILLIQUIDX LLP C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: ILLIQUIDX LTD. 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: J. P. MORGAN SECURITIES LTD. C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVE 34TH FL NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: MERRILL LYNCH INTERNATIONAL ATTN: ITHRAN OLIVACCE C/O NEW FINANCE ALDEN SPV 885 THIRD AVE 34TH FL NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: MERRILL LYNCH INTERNATIONAL CHRIS SCHOFIELD ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: METHOD INVESTMENT AND ADVISORY ATTN: CHRIS SCHOLFIELD ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: ODDO & CIE C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: ROYAL BANK OF SCOTLAND PLC, THE C/O ALDEN GLOBAL CAPITAL ATTN: CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: ROYAL BANK OF SCOTLAND PLC, THE CHRIS SCHOLFIELD C/O ALDEN GLOBAL CAPITAL 885 THIRD AVENUE NEW YORK NY 10022
ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P.	TRANSFEROR: SEA PORT GROUP SECURITIES, LLC C/O ALDEN GLOBAL CAPITAL CHRIS SCHOLFIELD 885 THIRD AVENUE NEW YORK NY 10022
BEDROK SECURITIES LLC	TRANSFEROR: OAKTREE HUNTINGTON INVESTMENT FUND, LP ATTN: RICK CAPLAN 555 THEODORE FREMD AVENUE, SUITE C-301 RYE NY 10580
BEDROK SECURITIES LLC	TRANSFEROR: OAKTREE OPPORTUNITIES FUND VIII, LP ATTN: RICK CAPLAN 555 THEODORE FREMD AVENUE, SUITE C-301 RYE NY 10580
BEDROK SECURITIES LLC	TRANSFEROR: OAKTREE OPPORTUNITIES FUND VIIIPARALLEL 2, L.P. ATTN: RICK CAPLAN 555 THEODORE FREMD AVENUE, SUITE C-301 RYE NY 10580
BEDROK SECURITIES LLC	TRANSFEROR: OAKTREE OPPORTUNITIES FUND VIIIPARALLEL, L.P. ATTN: RICK CAPLAN 555 THEODORE FREMD AVENUE, SUITE C-301 RYE NY 10580
BEDROK SECURITIES LLC	TRANSFEROR: OCM OPPORTUNITIES FUND VIIB, LP ATTN: RICK CAPLAN 555 THEODORE FREMD AVENUE, SUITE C-301 RYE NY 10580
BEDROK SECURITIES LLC	TRANSFEROR: OCM OPPORTUNITIES FUND VIIB, PARALLEL, L.P. ATTN: RICK CAPLAN 555 THEODORE FREMD AVENUE, SUITE C-301 RYE NY 10580
CBA VITA SPA	ATTN: MR. BIAGIO MASSI VIA VITTOR PISANI, 13 MILANO 20124 ITALY
CBA VITA SPA	ANDREA SURIANO SIMMONS & SIMMONS VIA DI SAN BASILIO 72 ROME 00187 ITALY
CHOW MAN YAN	16/F TOWER 1 GRAND CENTRAL PLAZA 138 SHATIN RURAL COMMITTEE ROAD SHATIN HONG KONG
CITIBANK PRIVATKUNDEN AG & CO. KGAA	PAUL, WEISS, RIFKIND, WHARTON & GARRISON LLP ATTN: DOUGLAS R. DAVIS 1285 AVENUE OF THE AMERICAS NEW YORK NY 10019-6064
CITIBANK PRIVATKUNDEN AG & CO. KGAA	ATTN: WILHELM HUELSKEN KASERNENSTRASSE 10 DUSSELDORF 40213 GERMANY
CREDIT SUISSE INTERNATIONAL	TRANSFEROR: BEDROK SECURITIES LLC ATTN: ASHWINEE SAMH ONE CABOT SQUARE LONDON E14 4QJ UNITED KINGDOM
CREDIT SUISSE INTERNATIONAL	TRANSFEROR: BEDROK SECURITIES LLC ATTN: ROBERT HEALEY 1-5 CABOT SQUARE LONDON E14 4QJ UNITED KINGDOM
CVF LUX MASTER S.A.R.L.	TRANSFEROR: DEUTSCHE BANK AG, LONDON ATTN: TEREI SALBERT 9320 EXCELSIOR BOULEVARD, 7TH FLOOR HOPKINS MN 55343
CVF LUX MASTER S.A.R.L.	TRANSFEROR: DEUTSCHE BANK AG, LONDON ATTN: TEREI SALBERT 9320 EXCELSIOR BOULEVARD, 7TH FLOOR HOPKINS MN 55343
CVF LUX MASTER S.A.R.L.	TRANSFEROR: DEUTSCHE BANK AG, LONDON C/O CARVAL INVESTORS, LLC 9320 EXCELSIOR BOULEVARD HOPKINS MN 55343
D-STAR LTD.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH C/O CITIGROUP ALTERNATIVE INVESTMENTS ATTN: JUDITH OTTENSOSER 399 PARK AVENUE, 7TH FLOOR NEW YORK NY 10022
DEUTSCHE BANK AG, LONDON	TRANSFEROR: EMPYREAN INVESTMENTS, LLC C/O DEUTSCHE BANK SECURITIES INC. ATTN: RICH VICHIAIDITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005

EPIQ BANKRUPTCY SOLUTIONS, LLC

Name	Address
DEUTSCHE BANK AG, LONDON	TRANSFEROR: EMPYREAN INVESTMENTS, LLC C/O DEUTSCHE BANK SECURITIES INC. ATTN: RICH VICHADITH 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: GE FINANCIAL MARKETS ATTN: RICH VICHADITH 60 WALL STREET 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: MAP 139 SEGREGATED PORTFOLIO OF LMA SPC ATTN: RICH VICHADITH; C/O DEUTSCHE BANK SECURITIES INC 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: MAP 139 SEGREGATED PORTFOLIO OF LMA SPC ATTN: RICH VICHADITH; C/O DEUTSCHE BANK SECURITIES INC. 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON	TRANSFEROR: VENDOR CAPITAL MASTER FUND LTD. C/O DEUTSCHE BANK SECURITIES INC. ATTN: RICH VICHADITH 60 WALL ST., 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: D-STAR LTD. C/O DEUTSCHE BANK SECURITIES INC. ATTN: JEFFREY OLINSKY 60 WALL STREET, 3RD FLOOR NEW YORK NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: SILVER POINT CAPITAL FUND, LP JAXCA NOTIFICATIONS GLOBAL BUSINESS SERVICES 5022 GATE PARKWAY, SUITE 200 JACKSONVILLE FL 32256
ILLIQUIDX LLP	TRANSFEROR: UNION BANCAIRE PRIVEE
ILLIQUIDX LLP	TRANSFEROR: UNION BANCAIRE PRIVEE ATTN: MR. CELESTINO AMORE 80 FLEET STREET LONDON EC4Y 1EL UNITED KINGDOM
J.P. MORGAN SECURITIES PLC	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH ATTN: JEFFREY L. PANZO MAIL CODE: NYI-M138 383 MADISON AVENUE, FLOOR 37 NEW YORK NY 10175
LLOYDS TSB BANK PLC (GENEVA BRANCH), ON BEHALF OF:	LLOYDS TSB BANK PLC GENEVA BRANCH ATTN: CORPORATE ACTIONS PLACE BEL-AIR 1 GENEVA 11 1211 SWITZERLAND
LLOYDS TSB BANK PLC (GENEVA BRANCH), ON BEHALF OF:	ONVAMO NARAVANAVA HOLDINGS LTD RH HODGE PLAZA , 2ND FLOOR UPPER MAIN STREET, WICKHAMS CAY 1 ROAD TOWN, TORTOLA BRITISH VIRGIN ISLANDS
LLOYDS TSB BANK PLC (GENEVA BRANCH), ON BEHALF OF:	LLOYDS TSB BANK PLC GENEVA BRANCH ATTN: CORPORATE ACTIONS PLACE BEL-AIR 1 GENEVA 11 1211 SWITZERLAND
LLOYDS TSB BANK PLC (GENEVA BRANCH), ON BEHALF OF:	ONVAMO NARAVANAVA HOLDINGS LTD RH HODGE PLAZA , 2ND FLOOR UPPER MAIN STREET, WICKHAMS CAY 1 ROAD TOWN, TORTOLA BRITISH VIRGIN ISLANDS
MERRILL LYNCH INTERNATIONAL	TRANSFEROR: CBA VITA SPA ATTN: ROBERT DINWIDDIE 2 KING EDWARD STREET LONDON EC1A 1HQ UNITED KINGDOM
MR. WINFRIED BACH	TRANSFEROR: CITIBANK PRIVATKUNDEN AG & CO. KGAA P.O. BOX 185 PATTAYA POST OFFICE CHONBURI 20260 THAILAND
OAKTREE HUNTINGTON INVESTMENT FUND, LP	TRANSFEROR: MORGAN STANLEY BANK INTERNATIONAL LIMITED C/O OAKTREE CAPITAL MANAGEMENT, L.P. ATTN: WILLIAM SANTANGELO 333 SOUTH GRAND AVE, 28TH FLOOR LOS ANGELES CA 90017
OAKTREE OPPORTUNITIES FUND VIII, LP	TRANSFEROR: MORGAN STANLEY BANK INTERNATIONAL LIMITED C/O OAKTREE CAPITAL MANAGEMENT, L.P. ATTN: WILLIAM SANTANGELO 333 SOUTH GRAND AVE, 28TH FLOOR LOS ANGELES CA 90017
OCM OPPORTUNITIES FUND VIIB, LP	TRANSFEROR: MORGAN STANLEY BANK INTERNATIONAL LIMITED C/O OAKTREE CAPITAL MANAGEMENT, L.P. ATTN: WILLIAM SANTANGELO 333 SOUTH GRAND AVE, 28TH FLOOR LOS ANGELES CA 90017
OCM OPPORTUNITIES FUND VIIB, PARALLEL, L.P.	TRANSFEROR: MORGAN STANLEY BANK INTERNATIONAL LIMITED C/O OAKTREE CAPITAL MANAGEMENT, L.P. ATTN: WILLIAM SANTANGELO 333 SOUTH GRAND AVE, 28TH FLOOR LOS ANGELES CA 90017
PINEY BRANCH PARK INC.	LOVELLS LLP 875 3RD AVE LBYY 1 NEW YORK NY 10022-7222
PINEY BRANCH PARK INC.	C/O QVT FINANCIAL LP ATTN: JULIAN SALE 1177 AVENUE OF THE AMERICAS, 9TH FL NEW YORK NY 10036
QUINTESSENCE FUND LP	TRANSFEROR: PINEY BRANCH PARK INC. C/O QVT ASSOCIATES GP LLC ATTN: JULIAN SALE 1177 AVENUE OF THE AMERICAS, 9TH FLOOR NEW YORK NY 10036
QVT SPECIAL INVESTMENT OFFSHORE FUND II LP	TRANSFEROR: PINEY BRANCH PARK INC. C/O QVT ASSOCIATES GP LLC ATTN: JULIAN SALE 1177 AVENUE OF THE AMERICAS, 9TH FLOOR NEW YORK NY 10036
QVT SPECIAL INVESTMENT ONSHORE FUND II LP	TRANSFEROR: PINEY BRANCH PARK INC. C/O QVT ASSOCIATES GP LLC ATTN: JULIAN SALE 1177 AVENUE OF THE AMERICAS, 9TH FLOOR NEW YORK NY 10036
STANDARD CHARTERED BANK (HONG KONG) LIMITED	TRANSFEROR: CHOW MAN YAN 21/F, STANDARD CHARTERED TOWER KWUN TONG ROAD 388 HONG KONG
TURNPIKE LIMITED	TRANSFEROR: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P. ATTN: ITHRAN OLIVACCE C/O ALDEN GLOBAL CAPITAL 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
TURNPIKE LIMITED	TRANSFEROR: ALDEN GLOBAL DISTRESSED OPPORTUNITIES MASTER FUND, L.P. C/O ALDEN GLOBAL CAPITAL ATTN: ITHRAN OLIVACCE 885 THIRD AVENUE, 34TH FLOOR NEW YORK NY 10022
UNION BANCAIRE PRIVEE	ATTN CORPORATE ACTIONS DEPARTMENT RUE DU RHONE 96-98 CASE POSTALE 1320 GENEVA 1 1211 SWITZERLAND
UNION BANCAIRE PRIVEE, UBP SA	TRANSFEROR: LLOYDS TSB BANK PLC (GENEVA BRANCH), ON BEHALF OF: ATTN: MR. JEAN-BAPTISTE ERATH 96 RUE DU RHONE GENEVE 1204 SWITZERLAND
UNION BANCAIRE PRIVEE, UBP SA	TRANSFEROR: LLOYDS TSB BANK PLC (GENEVA BRANCH), ON BEHALF OF: ATTN: MR. JEAN-BAPTISTE ERATH 96 RUE DU RHONE GENEVE 1204 SWITZERLAND